

Energy-Transfer-Enabled Regioconvergent Alkylation of Azlactones via Photocatalytic Radical–Radical Coupling

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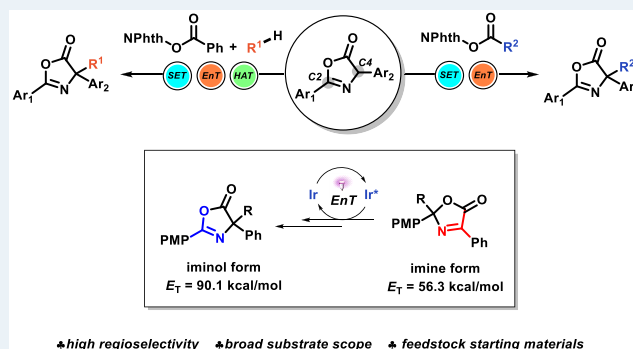
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ABSTRACT: C-4-selective functionalization of azlactones provides access to α,α -disubstituted unnatural α -amino acids, which has been extensively investigated in the past decades. However, a vast majority of such transformations are two-electron transfer reactions. Herein, leveraging on the persistent radical effect, we develop photocatalytic energy transfer-enabled regioconvergent alkylation of azlactones with redox-active esters via radical–radical couplings. This strategy is extended to the utilization of simple alkanes as the radical precursors, whereby the aryl redox-active esters play a dual role of an oxidant and a hydrogen-atom-transfer agent. Notably, the excited state Ir(III) photocatalyst enables selective activation of the unwanted imine products through triplet energy transfer, delivering C-4-functionalized azlactones with high regioselectivity. Both experimental investigations and density functional theory calculations on the reaction mechanism were performed, supporting EnT-enabled regioconvergent photocatalytic radical–radical coupling reaction pathways.

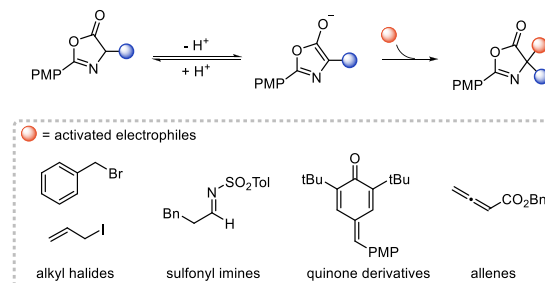
KEYWORDS: α,α -disubstituted α amino acid, azlactone, persistent radical effect, energy transfer, hydrogen atom transfer



Unnatural α,α -disubstituted α -amino acids are key structures in natural products and bioactive molecules.¹ In biological sciences, the conformational stability induced by the two side chains makes α,α -disubstituted unnatural amino acids highly stable toward proteolysis; thus, they are invaluable tools in peptide SAR studies, building up peptidomimetic libraries, and uncovering therapeutic agents. Not surprisingly, development of efficient synthetic approaches to access such structural motifs has continued to be an active research area.^{2,3}

The chemistry of azlactones has been extensively studied for the past decades, due to their importance in the synthesis of amino acid derivatives. In the most common mode of transformations,⁴ an azlactone would serve as a nucleophilic reaction partner, often through deprotonation of its acidic proton, to react with a range of electrophiles (Figure 1A). In an interesting approach, Curto and Kozlowski disclosed a palladium-catalyzed chemoselective arene sp^3 C–H activation. Mechanistically, it is believed that the azlactone dimer plays an important role in the observed C–H activation (Figure 1B).⁵ Subsequently, Ohshima and co-workers reported an iron-catalyzed cross-coupling of azlactones via a transient homocoupling dimer strategy.⁶ Despite all the marvelous progress of azlactone chemistry accomplished to date which is largely based on two-electron transfer reactions, it would still be highly desirable to develop novel approaches to functionalize azlactones in a mechanistically novel manner, allowing the use of a broad range of reaction partners that are complementary to those in the existing methods. It was with

A. Synthesis of unnatural amino acids by employing azlactones



B. C-4-functionalization of azlactones via homocoupling dimers

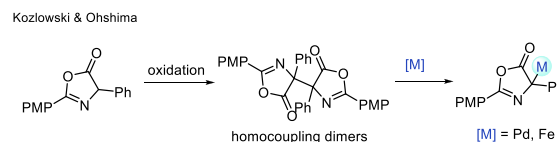
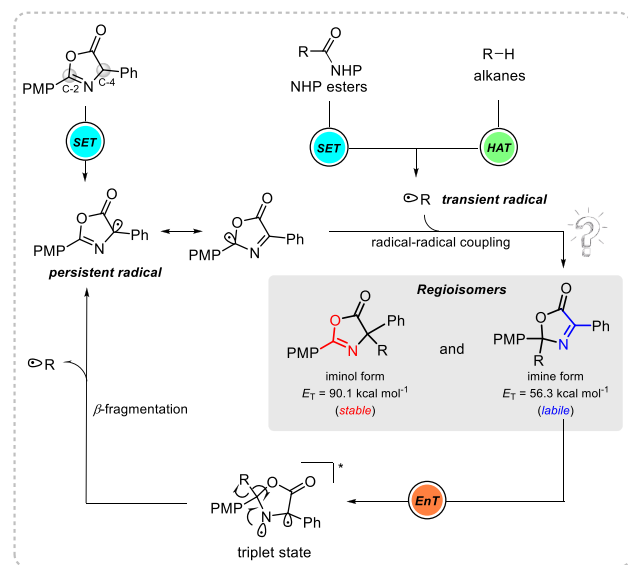


Figure 1. Synthesis of unnatural amino acids employing azlactones. PMP = *p*-methoxyphenyl.

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A. Our rationale: C4-functionalization of azlactones via direct radical-radical coupling



B. This work

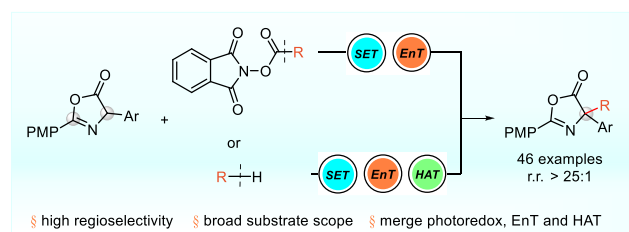


Figure 2. Functionalization of azlactones via photocatalytic radical coupling. NHP = *N*-hydroxyphthalimide.

this goal in mind that we started our investigation described in this report.

Catalytic radical reactions have been extensively investigated in the past few decades,⁷ and the key feature of which is the involvement of highly reactive radical intermediates, as opposed to polar species in a myriad of polar chemical

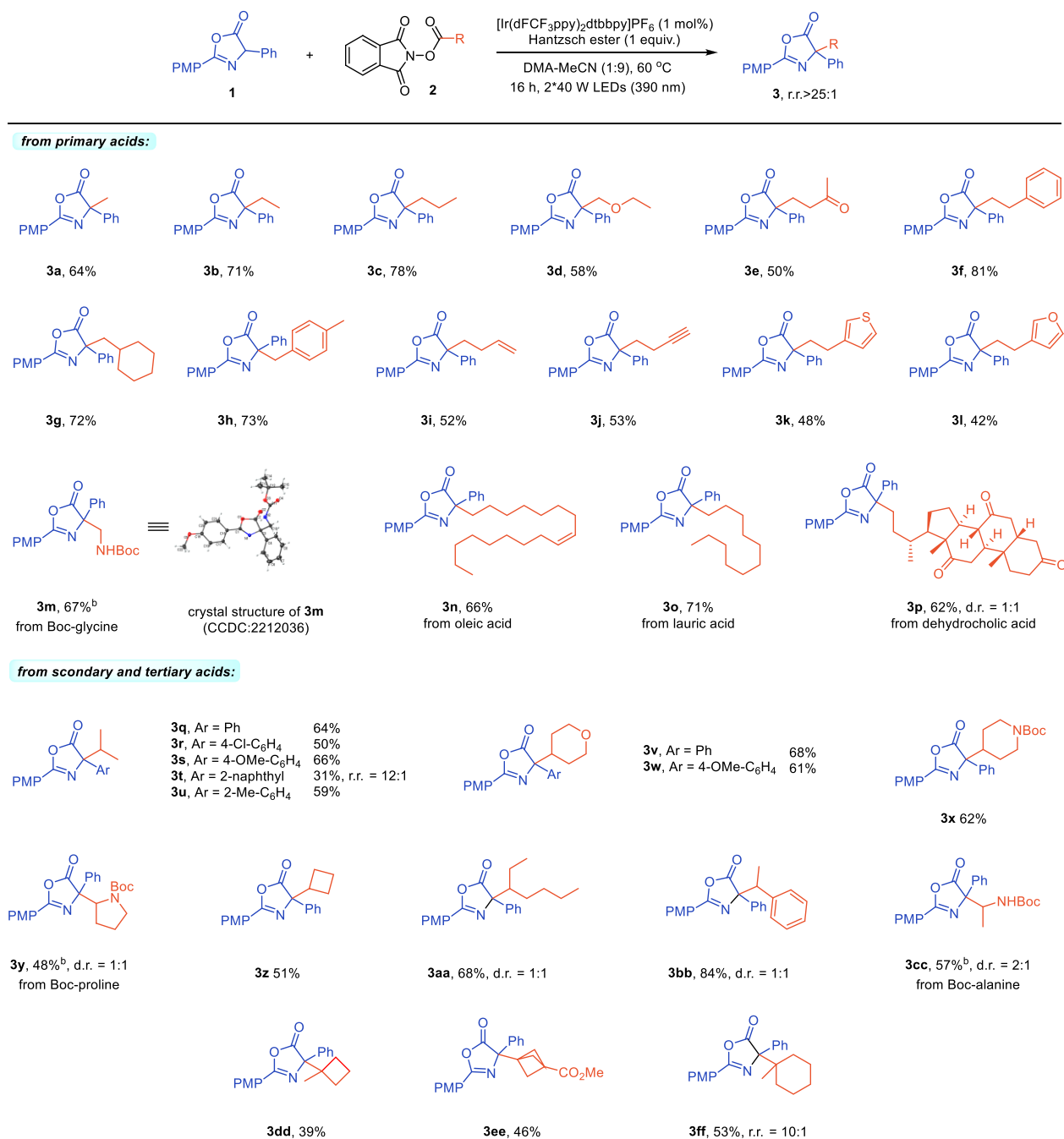
reactions. We reasoned that the C-4 functionalization of azlactones via radical pathways may significantly broaden the scope of the reaction, if only a radical activation of inert partners can be achieved. In fact, studies of azlactones in radical processes date back to the 1980s,⁸ which were mainly focused on the oxidative coupling of azlactones. These earlier studies suggest that the C-4 radical species of azlactones could be considered as a persistent radical due to the captodative and steric effects.⁹ Nevertheless, the employment of azlactones in radical reactions is rather limited, due to their propensity for dimerization and tendency to undergo photolysis which extrudes a molecule of carbon dioxide or carbon monoxide to yield the corresponding nitrile ylide or acetamide.^{8a,8} Furthermore, the difficulty in differentiating the C-2 and C-4 radical resonance forms of azlactones makes the regioselective cross-coupling reactions challenging. At the outset, there was no report on the direct cross-coupling of azlactone radicals with other transient radical species.¹⁰ We therefore aimed to develop such a radical process in a regioselective manner and engage readily available feedstock chemicals as the cross-coupling partners.

In recent years, photocatalysis has become a powerful strategy for the activation of feedstock molecules in organic chemistry.¹¹ Selective excitation of light-harvesting catalysts can promote single-electron transfer (SET), energy transfer (EnT), or hydrogen-atom-transfer (HAT) processes to access reactive radicals from a wide range of available radical precursors, enabling nonclassical C–C bond formations.^{12–14} To develop azlactone radical chemistry, we envisioned that the photomediated SET process facilitates the generation of azlactone persistent radical species. Leveraging on the persistent radical effect, subsequent cross-coupling reactions with other in situ generated transient radicals are highly feasible. We carried out density functional theory (DFT) calculations to determine the triplet energies of C-2 and C-4-substituted regioisomers, which are 56.3 and 90.1 kcal/mol, respectively. With such a triplet energy difference, we believe that a regioconvergent functionalization of azlactones enabled by EnT is highly feasible; EnT activates the more labile C-2

Table 1. Evaluation of Photocatalysts^a

entry	photocatalyst	E_T (kcal/mol) ^b	yield (%) (3q:3q') ^c
1	none	\	41 (76:24)
2	[Ir(ppy) ₂ dtbbpy]PF ₆	49.2	56 (79:21)
3	fac-Ir(ppy) ₃	55.2	45 (76:24)
4	fac-Ir(4-CF ₃ ppy) ₃	56.4	58 (77:23)
5	fac-Ir(dFppy) ₃	60.1	47 (>25:1)
6	[Ir(dFCF ₃ ppy) ₂ dtbbpy]PF ₆	60.1	56 (>25:1)
7	[Ir(dF(Me)ppy) ₂ dtbbpy]PF ₆	60.2	53 (>25:1)
8	[Ir(dFCF ₃ ppy) ₂ bpy]PF ₆	60.4	56 (>25:1)
9 ^d	[Ir(dFCF ₃ ppy) ₂ dtbbpy]PF ₆	60.1	69(64) (>25:1)

^aReaction conditions: **1a** (0.1 mmol), **2q** (0.2 mmol), and the photocatalyst (0.001 mmol) in DMA (1 mL), room temperature, 2 × 40 W LEDs (390 nm), 16 h under N₂. ^bValues from the literature.¹⁷ ^cYields determined by analysis of the crude ¹H NMR spectra using mesitylene as an internal standard. Ratio of **3q**/**3q'** determined by high-performance liquid chromatography analysis of the mixed regioisomers. ^dThe optimal conditions: **1a** (0.1 mmol), **2q** (0.3 mmol) and Hantzsch ester (0.1 mmol) in MeCN/DMA = 9/1 (1 mL), [Ir(dFCF₃ppy)₂dtbbpy]PF₆ (0.001 mmol), 60 °C, 2 × 40 W LEDs (390 nm), 16 h under N₂. Yield in the bracket refers to the isolated yield. LED, light-emitting diode; DMA, dimethylacetamide.

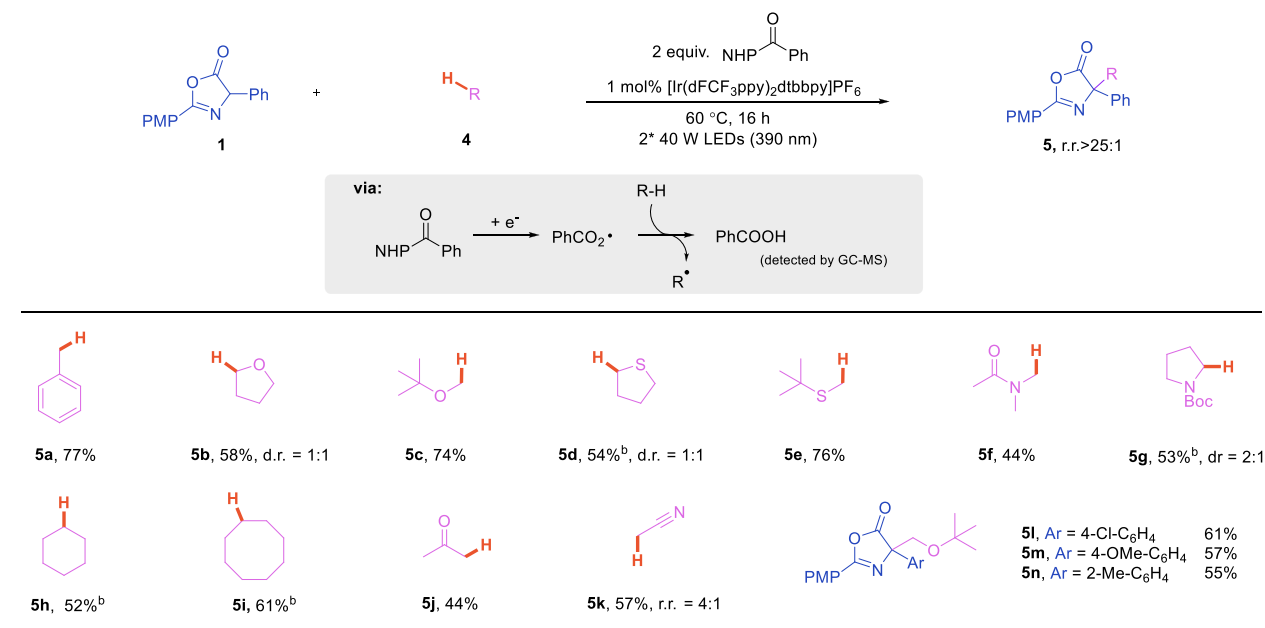
Scheme 1. Scope of Couplings of Azlactones with Redox Esters^a

^a1 (0.1 mmol), 2 (0.3 mmol) and Hantzsch ester (0.1 mmol) in 1 mL MeCN/DMA (9:1), [Ir(dFCF₃ppy)₂dtbbpy]PF₆ (0.001 mmol), 60 °C, 2 × 40 W LEDs (390 nm), N₂, 16 h. ^b1 (0.1 mmol), 2 (0.3 mmol) and Hantzsch ester (0.1 mmol) in 1 mL DMA, r.t., 2 × 40 W LEDs (390 nm), N₂, 16 h. Boc = *tert*-butyloxycarbonyl.

regioisomer, which undergoes β -fragmentation to regenerate the active azlactone radical (Figure 2A). Herein, we report an unprecedented EnT-enabled regioconvergent alkylation of azlactones with redox-active esters via a photocatalytic radical–radical coupling process. Furthermore, this strategy is extended to include simple alkanes as the cross-coupling partners, whereby aryl redox-active esters function as both an oxidant and a HAT agent (Figure 2B). The experimental investigations and DFT calculations were performed to gain insight into the reaction mechanism. It is noteworthy that most of the existing strategies for the activation of unsaturated

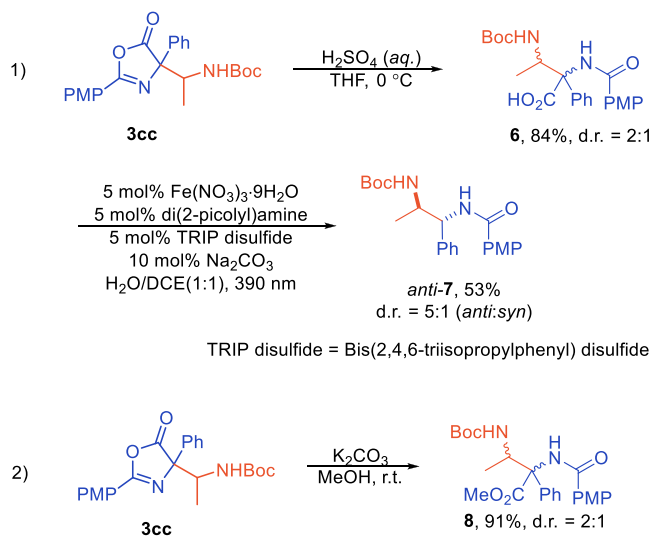
substrates via a photoenergy-transfer process are focused on double-bond photoisomerization¹⁵ and cyclization reactions.¹⁶ Our study provides a highly regioselective azlactone functionalization enabled by an EnT process.

We started our investigation by choosing azlactone 1a and redox-active ester 2q as the model substrates and evaluated the catalytic effects of various photocatalysts, and the results are summarized in Table 1. The cross-coupling reaction took place under 390 nm light irradiation in the absence of a photocatalyst, with poor regioselectivity (entry 1). Screening of various photocatalysts revealed that around 4:1 ratio of C-4/

Scheme 2. Scope of Couplings of Azlactones with Alkanes^a

^a 1 (0.1 mmol), 4 (1 mL), [Ir(dFCF₃ppy)₂dtbbpy]PF₆ (0.001 mmol), phenyl redox-active ester (0.1 mmol), 60 °C, 2 × 40 W LEDs (390 nm), N₂, 16 h. ^b 1 (0.1 mmol), 4 (0.2 mL) in 0.8 mL PhCF₃, [Ir(dFCF₃ppy)₂dtbbpy]PF₆ (0.001 mmol), phenyl redox-active ester (0.1 mmol), 60 °C, 2 × 40 W LEDs (390 nm), N₂, 16 h.

Scheme 3. Elaboration of C-4-Alkylated Products



C-2 regioisomers (3q/3q') was obtained when photocatalysts possessing a triplet state energy (E_T) below 60 kcal mol⁻¹ were utilized (entries 2–4). With the employment of photocatalysts having $E_T > 60$ kcal mol⁻¹, the cross-coupling product 3 was obtained in moderate yield and with excellent regioselectivity (entries 5–8). Subsequently, we extensively investigated reaction conditions (see the Supporting Information, Table S1 for details). When the reaction was performed in mixed solvents (CH₃CN/DMA = 9:1), with Hantzsch ester as a sacrificial donor, and at an elevated temperature (60 °C), the desired C4-regioisomer of the cross-coupling product 3q was obtained in 64% yield (entry 9).

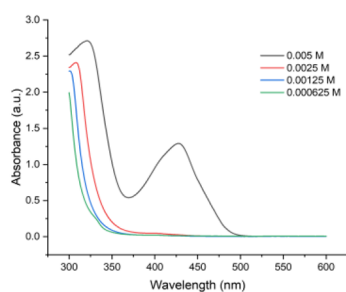
With the optimized reaction conditions in hand, we proceeded to examine the reaction scope, focusing on different

redox-active esters derived from readily available carboxylic acids (Scheme 1). Simple linear primary aliphatic acids of various chain lengths (3a–3c), as well as alkyl substituents bearing an ether (3d), a ketone (3e), an aryl (3f), or a cyclohexyl (3g) moiety at the terminal position were all found to be compatible with the reaction conditions, leading to the formation of the corresponding azlactone derivatives in good yields with excellent regioselectivities. The reaction was also applicable to functionalized primary acids containing a benzylic (3h), a terminal alkenyl (3i), an alkynyl (3j), or a heterocyclic moiety (3k, 3l). Moreover, the good functional group tolerance of this method enables the synthesis of complex azlactone derivatives through late-stage functionalization of biologically active molecules, including Boc-glycine (3m), oleic acid (3n), lauric acid (3o), and dehydrocholic acid (3p). For azlactone C-4 aryl substituents, arenes containing both electron-donating and electron-withdrawing groups at the *para*- or *ortho*-position are well-tolerated, and the corresponding azlactone derivatives were obtained in modest to good yields (3q–3u). Remarkably, secondary carboxylic acid-derived redox-active esters underwent the alkylation with excellent regioselectivity. Tetrahydropyranyl (3v, 3w), N-Boc-piperidinyl (3x), N-Boc-pyrrolidinyl (3y), cyclobutyl (3z), and alkyl chain substituents (3aa–3cc) could all be readily incorporated into C-4-functionalized azlactones. Redox-active esters from hindered tertiary carboxylic acids also delivered the coupling products with high regioselectivity, albeit with slightly decreased yields (3dd–3ff).

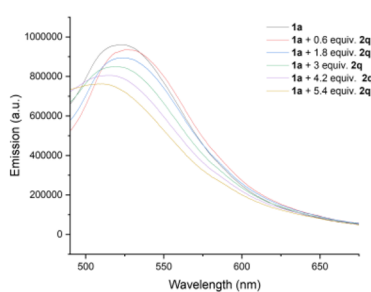
We next considered to include simple alkanes as a reaction partner for the functionalization of azlactones (Scheme 2). For the direct C–H activation of simple alkane substrates, the benzoyloxy radical (PhCOO•) derived from the redox-active ester may serve as a HAT agent.¹⁸ Specifically, the phenyl redox-active ester is anticipated to play a dual role as an oxidizing agent and a precursor of HAT agent. After reduction

UV/Vis study for azlactones and redox-active esters

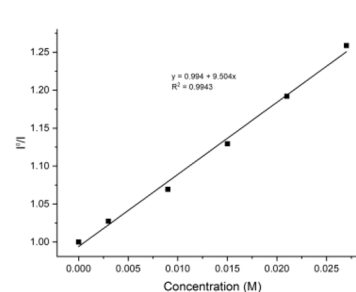
a) Absorbance of azlactone 1a at different concentrations



b) Luminescence quenching of azlactone 1a with 2q

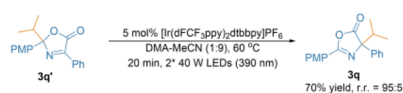


c) Stern-Volmer plots

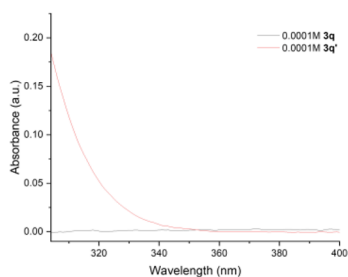


Mechanistic studies for irreversible isomerization

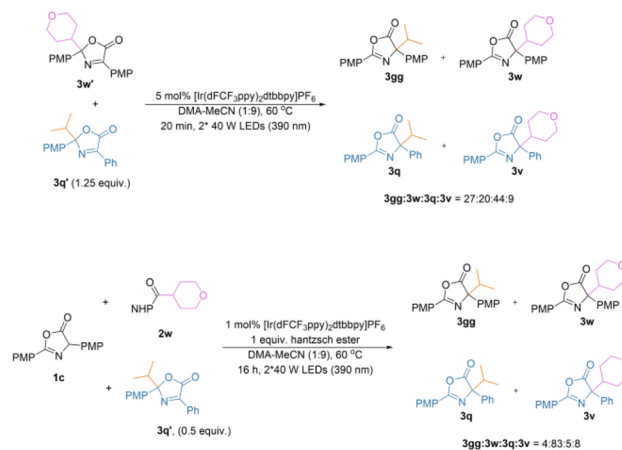
d) Irreversible isomerization



UV/Vis absorption of regioisomers



e) Crossover experiments



f) DFT calculations

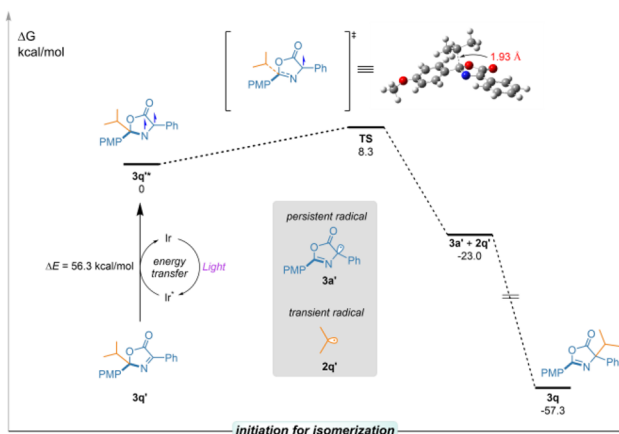


Figure 3. Mechanistic studies.

of the redox-active ester via a SET process, the in situ generated benzoyloxy radical (BDE = 111 kcal/mol) quickly abstracts the hydrogen atom from the C(sp³)–H bonds of alkane substrates, and the resulting C(sp³) radicals couple with the persistent azlactone radicals. Activated alkanes such as toluene (**5a**) and several hydridic C(sp³)–H bonds adjacent to heteroatoms such as the oxygen, nitrogen, or sulfur atom gave highly regioselective coupling products in modest to good yields (**5b–5g**). Interestingly, the reaction worked very well for the unactivated alkanes such as cyclohexane (**5h**) and cyclooctane(**5i**). Moreover, substrates bearing an acidic α -

proton, e.g., acetone (**5j**) and acetonitrile (**5k**), could also be employed. Finally, the variation of the aromatic substituent of azlactones was evaluated, regardless of the electronic nature and substitution patterns of the aryl moiety, the coupling reaction proceeded smoothly and furnished the desired cross-coupling products in decent yields (**5l–5n**).

The C-4 functionalized azlactones are known as powerful precursors for α,α -disubstituted α -amino acid derivatives.⁴¹ Thus, the synthetic utility of our protocol was demonstrated by further transformations (Scheme 3). Treated with aqueous H₂SO₄ in THF, **3cc** was fully converted to the corresponding

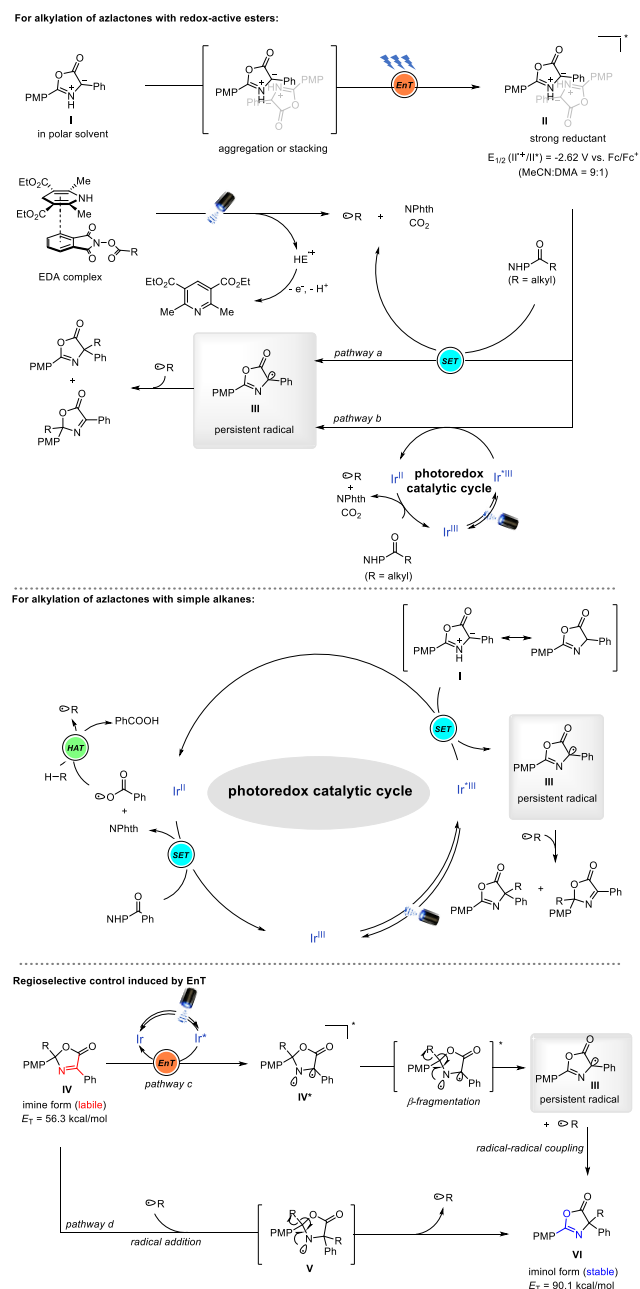


Figure 4. Proposed plausible mechanisms. NPhth = phthalimide.

carboxylic acid **6**. Subsequent decarboxylative protonation of **6** afforded 1,2-damine *anti*-**7** bearing different protecting groups.^{19,20} In addition, ring opening of azlactone **3cc** under mild basic conditions led to the formation of the α , α -disubstituted α -amino acid derivative **8** in an excellent yield.

To gain insights into the mechanistic pathways leading to this radical–radical coupling, a series of control experiments were conducted. With the increase of the concentration of azlactone **1**, a new band (350–500 nm) appeared in its absorption spectrum. We believe that such a new absorption band may be attributed to the aggregation or stacking effect of azlactones in a mesoionic form²¹ (Figure 3a). The Stern–Volmer quenching experiments showed that photoexcited azlactone **1a** could be effectively quenched by redox-active ester **2q** (Figure 3b,c). The redox potential of **1a** at the excited state ($E_{1/2}(\text{I}^+/\text{I}^*) = -2.62 \text{ V vs Fc/Fc}^+$) was estimated by the

combination of the UV/vis absorption spectrum and the corresponding cyclic voltammetry (CV) measurement (Figures S1 and S5). Furthermore, no obvious red-shift was observed when azlactone **1a** was mixed with redox-active ester **2q** under basic conditions, which excludes the possibility of an electron donor–acceptor (EDA) complex formation (Figure S2). A few extra experiments were performed to understand EnT-enabled regioselectivity. With the treatment of Ir-photocatalyst, C-2-substituted isomer **3q'** irreversibly isomerized to C-4-substituted **3q** with an isomeric ratio of 95:5 (**3q**:**3q'**). However, no isomerization was observed in the absence of the Ir-photocatalyst. The quantum yield (ϕ (10%) = 76.1) indicated that a radical chain process might be involved (see the Supporting Information for details). Compared with C-4-substituted **3q**, C-2-substituted **3q'** has a much stronger absorption in the region of 300–360 nm (Figure 3d). The phenomenon of irreversible isomerization between different regioisomers was further confirmed by the crossover experiments (Figure 3e). When two C-2-substituted regioisomers **3w'** and **3q'** bearing distinguishable groups were treated with the Ir-photocatalyst under light irradiation, crossover products with a ratio of 27:20:44:9 (**3gg**:**3w'**:**3q'**:**3v**) were obtained. In another experiment, when azlactone **1c** was mixed with redox-active ester **2w** and C-2-substituted **3q'** under the standard reaction conditions, a mixture of four crossover products was formed. DFT calculations using UB3LYP were also performed (Figure 3f). The triplet energy of **3q'** was computed to be 56.3 kcal/mol, which is sufficient to undergo triplet–triplet energy transfer (TTEnt) with excited $[\text{Ir}(\text{dFCF}_3\text{ppy})_2\text{dtbbpy}]\text{PF}_6$ ($E_T = 60.1 \text{ kcal/mol}$). The excited **3q'*** smoothly undergoes β -fragmentation with a barrier of 8.3 kcal/mol, leading to the formation of isopropyl radical **2q'** and azlactone radical **3a'**.²² A subsequent radical–radical cross-coupling reaction between **2q'** and **3a'** delivers the desired product **3q**.

Based on all the above experimental and theoretical studies, a plausible mechanism is proposed (Figure 4). In polar solvents, azlactones exist predominantly in mesoionic form **I**. Under 390 nm light irradiation, direct excitation of concentrated **I** leads to its excited state **II** ($E_{1/2}(\text{II}^+/\text{II}^*) = -2.62 \text{ V vs Fc/Fc}^+$), which then reduces either redox-active ester or the photoexcited Ir catalyst. Both pathways result in the formation of azlactone radical **III** and the corresponding alkyl radical species. Moreover, the alkyl radical can also be generated through the EDA complex of Hantzsch ester (HE) and redox-active ester.²³ Finally, the radical–radical cross-coupling reaction forms the desired product. For the alkylation reaction employing simple alkanes, a HAT process is involved. The azlactone radical **III** is generated upon oxidative quenching of excited Ir-photocatalyst. Subsequently, oxidation of the reduced Ir-photocatalyst by phenyl redox-active ester then forms a benzoyloxy radical (PhCOO^\bullet), which abstracts the hydrogen atom from the $\text{C}(\text{sp}^3)\text{--H}$ bond of alkanes, yielding the corresponding alkyl radical species. Finally, the radical–radical coupling delivers the desired coupling product. To account for the EnT-induced regioselectivity, we propose that the EnT from the excited Ir-photocatalyst to the ground-state C2-substituted regioisomer **IV** ($E_T = 56.3 \text{ kcal/mol}$) furnishes the triplet state **IV***, which undergoes a facile β -fragmentation to regenerate azlactone radical **III** and alkyl radical, and the same radical–radical coupling completes the reaction. An alternative radical addition pathway cannot be ruled out; the addition of alkyl radical to **IV** gives rise to

intermediate V, which undergoes a β -fragmentation, forming product VI and regenerating alkyl radical.²⁴

In summary, we have developed an efficient alkylation of azlactones with redox-active esters or simple alkanes via a photocatalytic radical–radical cross-coupling reaction. The reported reactions are highly regioselective, enabled by an EnT process. The strategy introduced herein is mechanistically intriguing, entailing synergistic interplays of photoredox SET, HAT, and EnT processes. The reaction protocols are mild, with good functional group tolerance, and applicable to a broad range of substrates. It is noteworthy that persistent radicals are readily generated through single-electron oxidation of azlactones, key for the subsequent radical–radical cross-coupling reaction. An unprecedented photo EnT-enabled regioselectivity is discovered, through selective activation and transformation of unwanted regioisomers. Moreover, the phenyl redox-active ester represents a rare example of oxidative HAT reagent, where most reported HAT reagents in the literature are reductive by nature.²⁵ We are currently working toward the discovery of novel photochemical reactions, leveraging on the conceptual advancement presented in this report.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acscatal.2c05698>.

Experimental procedure, optimization tables, and characterization data for all the products (PDF)

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Notes

The authors declare no competing financial interest.

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